

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Teri Aldrich, Wenyan Shen, Frederick W. Jacobsen, Arvia E. Morris, Martin J. Allen  
Docket No.: A-951-US-PCT  
Serial No: 10/578,580  
Group Art Unit: Unknown  
Filed: May 5, 2006  
Examiner: Unknown  
For: MONKEY IMMUNOGLOBULIN SEQUENCES

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. 3.73(b)

Amgen Inc. (hereinafter "Amgen"), a corporation of the State of Delaware having a place of business at One Amgen Center Drive, Thousand Oaks, California, 91320, is the assignee of the entire right, title, and interest in the above-identified U.S. patent application. Documentary evidence of a chain of title from the original owner(s) to Amgen is demonstrated by:

- ☒ the enclosed copy of an Assignment is being filed for recordation by the United States Patent and Trademark Office.  
OR  
☐ the assignment recorded in the United States Patent and Trademark Office at Reel , Frame

The undersigned, whose title is supplied below, is empowered to sign this statement on behalf of Amgen.

POWER OF ATTORNEY BY ASSIGNEE

Amgen hereby appoints the registered practitioners at Customer Number 37500 to prosecute this application, to make and to transact all business in the Patent and Trademark Office connected therewith.

Respectfully submitted,

Date

March 13, 2007

By

Stuart L. Watt  
Stuart L. Watt

Title Vice President, Law

Enclosure: Copy of Assignment

Docket No.: A-951-US-PCT  
USSN: 10/578,580

## ASSIGNMENT

Whereas, we, Teri Aldrich, 10402 17<sup>th</sup> Avenue N.E., Seattle, Washington 98125, U.S.A.  
Wenyan Shen, 721 Seminole Way, Palo Alto, California 94303, U.S.A.  
Frederick W. Jacobsen, 1168 Homestake Place, Newbury Park, California 91320, U.S.A.  
Arvia E. Morris, 4535 Thackeray Place N.E., Seattle, Washington 98105, U.S.A.  
Martin J. Allen, 8612 21<sup>st</sup> Avenue N. W., Seattle, Washington 98117, U.S.A.

have made an invention which is the subject of an application for Letters Patent of the United States ("Application")  
entitled

MONKEY IMMUNOGLOBULIN SEQUENCES

which is found in:

- ☐ (a) the US Patent Application executed on even date herewith; [which claims the benefit of U.S. Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.]
- ☐ (b) the US Patent Application executed on \_\_\_\_\_ [which claims the benefit of U.S. Provisional Application No. \_\_\_\_\_ filed \_\_\_\_\_.]
- ☒ (c) US Application Serial No.: 10/578,580 filed on May 5, 2006  
which is the national stage of International application Serial No. PCT/US2004/037241 filed November 4, 2004, which claims the benefit of U.S. Provisional Application No. 60/517,970 filed November 7, 2003.
- ☐ (d) US Patent No.: \_\_\_\_\_

Whereas AMGEN INC., a Delaware corporation having its principal place of business at One Amgen Center Drive, Thousand Oaks, California, 91320-1799, wishes to acquire the entire interest in all inventions disclosed in such Application;

Now, therefore, in consideration of the sum of one dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we hereby sell, assign, transfer and set over unto AMGEN INC., its successors and assigns (collectively "AMGEN") our entire right, title and interest in, to and under the Application, and any provisional application(s) from which Application is derived, and all priority rights to which the Application may be entitled, including all priority rights for other countries arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for such inventions, or any of them, including divisional, continuation, and/or continuation-in-part applications based on the above identified Application, all such inventions and all rights in such Application and Letters Patent to be held and enjoyed by AMGEN for its own use and enjoyment to the full end of the term or terms for which such Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

Further, we hereby sell, assign, transfer, and set over unto AMGEN our entire right, title and interest in or to the right to refer to, to access, and to control microorganism(s) or other biological material(s) related to the Application and which are deposited by us or at our direction or deposited on our behalf by AMGEN, its affiliates, employees or employees of its affiliates. The transfer of such right, title and interest includes, without limitation, our unreserved and irrevocable consent and authorization to AMGEN to refer to the deposited microorganisms(s) or other biological materials(s) in the Application and the right to make available to the public the deposited material in accordance with the laws, treaties, statutes, rules, regulations and the like of the United States, all other countries, and any patent granting authority or organization.

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We authorize and request the Commissioner of Patents and Trademarks of the United States to issue any such Letters Patent which may be granted on the Application to AMGEN as assignee of the entire right, title and interest therein and thereto.

For ourselves and for our legal representatives, we covenant and agree with AMGEN that we have not granted to any others any license to make, use or sell any of such inventions, that our right, title and interest in such inventions has not been encumbered, that we have good right and title to sell and assign the same, and that we will not execute any instrument in conflict herewith.

For ourselves and for our heirs, successors and legal representatives, we further covenant and agree with AMGEN that upon request we and they will: (i) execute continuing, divisional, or reissue applications, amended specifications, or rightful declarations or oaths; (ii) communicate to AMGEN any facts known to us or them relating to such inventions or the history thereof; (iii) execute preliminary statements and testify in any interference proceedings or litigation; (iv) execute and deliver any application papers, assignments, or other instruments; and (v) do all other acts which, in the opinion of counsel for AMGEN, may be necessary or desirable to secure the grant of Letters Patent to AMGEN or its nominees, in the United States and in all other countries where AMGEN may desire to have such inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for AMGEN and to vest and confirm in AMGEN or its nominees the full and complete legal and equitable title to all such Letters Patent, without further consideration than that now paid but at the expense of AMGEN.

In Witness Whereof I have executed this assignment on the 19 day of Feb. 2007

Teri Aldrich  
(Name of Inventor)

Teri Aldrich  
(Signature of Inventor)

## UNITED STATES OF AMERICA

State of Washington } ss:  
County of King }

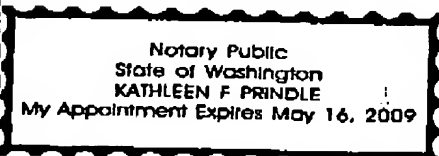
February 19 2007

Before me, a Notary Public for King County, State of Washington, personally appeared Teri Aldrich

☐ personally known to me - OR - ☒

proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.



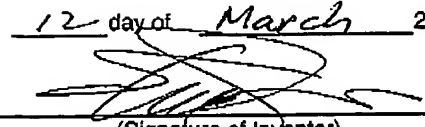
Kathleen F. Prindle  
Notary Public

My commission expires

February 19 2007  
May 16,

Docket No.: A-951-US-PCT  
USSN: 10/578,580

In Witness Whereof I have executed this assignment on the

12 day of March 20 07Wenyan Shen  
(Name of Inventor)  
(Signature of Inventor)

## UNITED STATES OF AMERICA

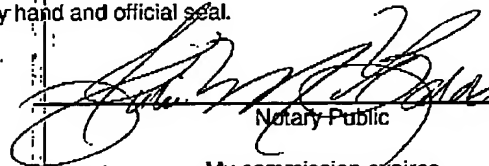
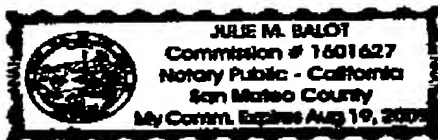
State of California  
County of Ventura

} ss:

San Mateo  
pub 3/12/07March 12 20 07San Mateo  
pub 3/12/07Before me, a Notary Public for Ventura County, State of California, personally appeared Wenyan Shen☒ personally known to me - OR - ~~not~~pub  
3/12/07pub  
3/12/07

proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
Notary Public

My commission expires

August 19 20 08

Docket No.: A-951-US-PCT  
USSN: 10/578,580In Witness Whereof I have executed this assignment on the 15<sup>th</sup> day of February 2007Frederick W. Jacobsen  
(Name of Inventor)Frederick W. Jacobsen  
(Signature of Inventor)

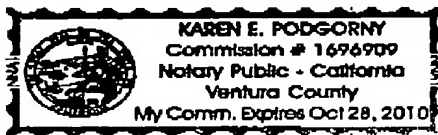
## UNITED STATES OF AMERICA

State of California }  
County of Ventura } ss:February 15 2007Before me, a Notary Public for Ventura County, State of California, personally appeared Frederick W. Jacobsen☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Karen E. Podgorny  
Notary Public

My commission expires

October 28 2010

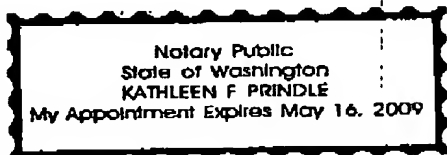
Docket No.: A-951-US-PCT  
USN: 10/578,580In Witness Whereof I have executed this assignment on the 20 day of February 2007Arvia E. Morris  
(Name of Inventor)Arvia E. Morris  
(Signature of Inventor)

## UNITED STATES OF AMERICA

State of Washington }  
County of King } ss:February 20 2007Before me, a Notary Public for King County, State of Washington, personally appeared Arvia E. Morris


☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

Kathleen F. Prindle  
Notary Public

My commission expires

May 16 2009

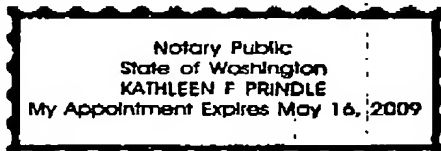
Docket No.: A-951-US-PCT  
USSN: 10/578,580In Witness Whereof I have executed this assignment on the 20<sup>th</sup> day of Feb 20 07Martin J. Allen  
(Name of Inventor)  
(Signature of Inventor)

## UNITED STATES OF AMERICA

State of Washington } ss:  
County of King }February 20 20 07Before me, a Notary Public for King County, State of Washington, personally appeared Martin J. Allen

☐ personally known to me - OR - ☒ proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.

  
Notary Public

My commission expires

May 16 20 09